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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/084,817	02/25/2002	Susan G. Stuart	PA-0046 US	2278
27904 7:	590 03/24/2004		EXAM	INER
INCYTE CORPORATION		MARTINELL, JAMES		
3160 PORTER DRIVE PALO ALTO, CA 94304			ART UNIT	PAPER NUMBER
1112011210,			1631	
			DATE MAILED: 03/24/2004	1

Please find below and/or attached an Office communication concerning this application or proceeding.

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Office Action Summary

Application No.	Applicant(s)
10/084,817	STUART ET AL.
Examiner	Art Unit
James Martinell	1631

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

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Status
1) Responsive to communication(s) filed on <u>23 December 2003</u> .
2a) ☐ This action is FINAL . 2b) ☑ This action is non-final.
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.
Disposition of Claims
4)⊠ Claim(s) <u>1-20</u> is/are pending in the application.
4a) Of the above claim(s) <u>15-20</u> is/are withdrawn from consideration.
5) Claim(s) is/are allowed.
6)⊠ Claim(s) <u>1-14</u> is/are rejected.
7) Claim(s) is/are objected to.
8) Claim(s) are subject to restriction and/or election requirement.
Application Papers
9)☐ The specification is objected to by the Examiner.
10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.
Priority under 35 U.S.C. § 119
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some * c) ☐ None of:
1. Certified copies of the priority documents have been received.
2. Certified copies of the priority documents have been received in Application No
3. Copies of the certified copies of the priority documents have been received in this National Stage
application from the International Bureau (PCT Rule 17.2(a)).
* See the attached detailed Office action for a list of the certified copies not received.
Attachment(s)
1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413)
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date.

3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)

Paper No(s)/Mail Date _07/01/02

1) 2)

6) Other: _

5) Notice of Informal Patent Application (PTO-152)

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Applicant's election of Group I, claims 1-8 in the response filed December 23, 2003 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)). Applicants did not argue the restriction as it applied to Groups I and II compared to Groups III-V, so to the extent that the requirement for restriction is concerned with dividing the claims of Groups III-V and Groups III-V as compare to Groups I and II, the election is treated as being made without traverse. Applicants' arguments in connection with the division of Groups I and II is persuasive, and so Groups I and II are rejoined. Thus, claims 1-14 (as they pertain to SEQ ID NOs: 1, 2, 8, 18, 20, 25, 27, 30, 32, and 37) are examined here.

Claims 15-20 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention, there being no allowable generic or linking claim. Election was made **without** traverse in the response filed December 23, 2003 (see above).

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-14 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims are vague, indefinite, and incomplete.

- (a) The claims are vague and indefinite because they claim more than was elected (e.g., see claims 1 and 9).
- (b) The recitation of "MYCN activated cells" (claim 1) is vague and indefinite because it is not clear what the term means. The instant application does not distinguish between MYCN activated cells and inactivated cells.
- (c) The recitation of "that disorder" (claim 6) is incomplete because it lacks antecedent basis.

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(d) The recitation of "mimetics" (claim 8) is vague and indefinite because the term is not defined.

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 9-14 are rejected under 35 U.S.C. 102(e) as being anticipated by any one of Furness et al (U.S. Patent No. 6,673,549), Faris et al (U.S. 2002/0119463), Chen (U.S. 2002/0156263), or Kaser (U.S. 2003/0108871).

The applied references have a common Assignee with the instant application. Based upon the earlier effective U.S. filing date of the references, they constitute prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the references was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.

Faris et al discloses a DNA with the same sequence as SEQ ID NO: 8 (compare Faris et al SEQ ID NO: 38 to SEQ ID NO: 8 of the instant application in the alignment is Appendix A). Chen discloses a DNA with the same sequence as SEQ ID NO: 8 (compare Chen SEQ ID NO: 92 to SEQ ID NO: 8 of the instant application in the alignment is Appendix B). Furness et al discloses a DNA with the same sequence as SEQ ID NO: 20 (compare Furness et al SEQ ID NO: 908 to SEQ ID NO: 20 of the instant application in the alignment is Appendix C). Kaser discloses a DNA with the same sequence as SEQ ID NO: 20 (compare Kaser SEQ ID NO: 359 to SEQ ID NO: 20 of the instant application in the alignment is Appendix D). Thus, the nucleic acids, vectors, host cells, and methods of the claims are anticipated by the nucleic acids, vectors, host cells, and methods taught in any one of the references.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James Martinell whose telephone number is (571) 272-0719. The fax phone number for Examiner Martinell's desktop workstation is (571) 273-0719. The examiner works a flexible schedule and can be reached by phone and voice mail. Alternatively, a request for a return telephone call may be e-mailed to james.martinell@uspto.gov. Since e-mail communications may not be secure, it is suggested that information in such requests be limited to name, phone number, and the best time to return the call.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Woodward, can be reached on (571) 272-0722.

PLEASE NOTE THE NEW FAX NUMBER

The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (571) 272-1600.

Primary Examiner

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